

#5



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Alan Ballard, Peggy Shafer, and Theresa Sherwood
SERIAL NO.: 10/040,397
FILED: 12/28/2001
TITLE: Customization of tab-order functionality in internet applications
EXAMINER: Unknown
GROUP ART UNIT: 2152
ATTY.DKT.NO.: PA1741US

RECEIVED

JUL 03 2002

Technology Center 2100

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant(s)'s duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,
Alan Ballard et al.

Dated: 6/17/02

By: 

Steven M. Colby, Reg. No. 50,250
Carr & Ferrell *LLP*
2225 East Bayshore Road, Suite 200
Palo Alto, CA 94303
TEL: (650) 812-3400
FAX: (650) 812-3444

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Completeness if Known

Application Number	10/040,397
---------------------------	------------

Filing Date	12/28/2001
--------------------	-------------------

First Named Inventor	Alan Ballard
----------------------	--------------

Group Art Unit	2152
----------------	------

Examiner Name	Unknown
---------------	---------

Attorney Docket Number PA1741US

RECEIVED

~~JUL 03 2002~~

~~Technology Center 2100~~

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

+



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Alan Ballard, Peggy Shafer and Theresa Sherwood
SERIAL NO.: 10/040,397
FILED: December 28, 2001
TITLE: Customization of tab-order functionality in internet applications
EXAMINER: Unknown
GROUP ART UNIT: 2152
ATTY.DKT.NO.: PA1741US

RECEIVED

JUL 03 2002

Technology Center 2100

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

**List of Co-Pending Patent Applications That May Be Directed
Towards Similar Subject Matter**

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	Unknown, Docket No. PA1967US	Alan Ballard	System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes	06/07/02
	10/040,396	Theresa Sherwood	System and Method for Searching Data Partially Displayed on a User Interface	12/28/01
	10/041,015	Alan Ballard	Customization of User Interface Presentation in an Internet Application User Interface	12/28/01
	10/035,413	Alan Ballard	Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface	12/28/01
	10/041,034	Peter Gassner	Customization of Client-Server Interaction in an Internet Application	12/28/01
Examiner's Signature:				Date



2152

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/040,397	
	Filing Date	12/28/2001	
	First Named Inventor	Alan Ballard	
	Group Art Unit	2152	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	609	Attorney Docket Number	PA1741US

RECEIVED

JUL 03 2002

Technology Center 2100

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard, Copy of three cited reference. Copies of five related U.S. Patent Applications. Statement of co-pending applications (2 copies).
Remarks		Total page number does not include postcard and check(s), if applicable.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Steven M. Colby Carr & Ferrell, LLP Cust. No. 22830
Signature	
Date	June 17, 2002

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 17, 2002		
Typed or printed name	Steven M. Colby	
Signature		Date June 17, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.